

Electronic Acknowledgement Receipt

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International Application Number:	
Confirmation Number:	3301
Title of Invention:	Automated financial portfolio coaching and risk management system
First Named Inventor/Applicant Name:	Ronald E. Sloan
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Attorney Docket Number:	60021-375602
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Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 810
RAM confirmation Number	2013
Deposit Account	501901
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
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1	Request for Continued Examination (RCE)	RCE.pdf	65316 4c32ab739311e8fb445bddc80eb87df389ce79b8	no	1
Warnings:					
This is not a USPTO supplied RCE SB30 form.					
Information:					
2		SIDS_of_5-7-08.pdf	159252 de61ac10fd8d9c1ca170f8085de424750bc55f10	yes	4
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Information Disclosure Statement Letter		1	3	
	Information Disclosure Statement (IDS) Filed		4	4	
Warnings:					
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3	NPL Documents	SCIFINANCE-data_processing_in_the_securities_industry.pdf	1016734 2278b34a95fa978090240e59b77b1bcc856e9084	no	11
Warnings:					
Information:					
4	NPL Documents	Solving_Pattern_Data_Exchange_Problems.pdf	428685 ad621ae1b88deb9313c7857e0cf571052be1459a	no	7
Warnings:					
Information:					
5	NPL Documents	Financial_Risk_Management_in_Action.pdf	320280 02d3df511355e44e2efa1d92a1e70fcd9d20aae3	no	6
Warnings:					
Information:					
6	NPL Documents	Debt-Maturity_Structures.pdf	330198 8ef76dc15b513f054ea129ceb232c7afc00da4a6	no	6
Warnings:					
Information:					
7	NPL Documents	Measuring_and_Managing_Risk.pdf	459071 73cf4b54f78964efb66c5f36878b09b09f102281	no	6
Warnings:					
Information:					

8	NPL Documents	WHIP.pdf	301412	no	6
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9	NPL Documents	OA_of_10-23-07_for_Applic_02802758-9_2221.pdf	262902	no	7
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11	NPL Documents	FOA_of_1-4-08_for_Applic_09-929610.pdf	406581	no	12
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13	NPL Documents	FOA_of_2-8-08_for_Applic_09927560.pdf	342715	no	11
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Information:					
16	Fee Worksheet (PTO-06)	fee-info.pdf	30061	no	2
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.